

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Renfeng Guo, et al.

Serial No.:

10/701,871

Group No.: 1645

Filed:

11/05/2003

Examiner: Devi

Entitled:

Compositions and Methods for the Diagnosis and Treatment of Sepsis

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

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Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A check for \$180.00 is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: October 12, 2006

Tanva A. Alrenson Registration No. 47,391

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900



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Date: October 12, 2006

By: Mary Ellen Waite

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The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- Mandecki et al., "Chemical synthesis of a gene encoding the human complement fragment C5a and its expression in Escherichia," PNAS U S A. Jun;82(11):3543 7(1985)
- Reidemann et al., "Increased C5a receptor expression in sepsis," (2002) J. Clin. Invest. 110:101-108
- Solomkin et al., "Neutrophil dysfunction in sepsis. II. Evidence for the role of complement activation products in cellular deactivation," Surgery 90:319-327, (1981)

- Van Epps, et al., "Relationship of C5a receptor modulation to the functional responsiveness of human polymorphonuclear leukocytes to C5a," J. Immunol. 150:246-252 (1993)
- Ward and Becker, "The deactivation of rabbit neutrophils by chemotactic factor and the nature of the activatable esterase," J. Exp. Med. 127:693-709 (1968)
- Olson et al., "The role of C5 in septic lung injury," Ann. Surg. 202:771-776 (1985)
- Wong et al., "Small molecular probes for G-protein-coupled C5a receptors: conformationally constrained antagonists derived from the C terminus of the human plasma protein C5a," (1998) J. Med. Chem. 41,3417-3425
- Mollison et al., (1992) FASEB J. 6,A2058
- Drapeau et al., "Synthetic C5a receptor agonists. Pharmacology, metabolism and in vivo cardiovascular and hematologic effects," (1993) Biochem. Pharmacol. 45,1289-1299
- Konteatis et al., "Development of C5a receptor antagonists. Differential loss of functional responses," (1994) J. Immunol. 153,4200-4205
- Woodruff et al., "Species dependence for binding of small molecule agonist and antagonists to the C5a receptor on polymorphonuclear leukocytes," Inflammation 25, 171-7. (2001)
- Haynes et al., "Inhibition of C5a-induced neutrophil chemotaxis and macrophage cytokine production in vitro by a new C5a receptor antagonist," Biochem Pharmacol 60, 729-33. (2000)
- Strachan et al., "A new small molecule C5a receptor antagonist inhibits the reversepassive Arthus reaction and endotoxic shock in rats," J Immunol 164, 6560-5. (2000)
- Paczkowski et al., "Pharmacological characterization of antagonists of the C5a receptor," Br J Pharmacol 128, 1461-6. (1999)
- Finch et al., J Med Chem 42, 1965-74. (1999)

- Strachan et al., "Inhibition of immune-complex mediated dermal inflammation in rats following either oral or topical administration of a small molecule C5a receptor antagonist," Br J Pharmacol 134, 1778-86. (2001)
- Short et al., "Effects of a new C5a receptor antagonist on C5a- and endotoxin-induced neutropenia in the rat," (1999) Br. J. Pharmacol. 126,551-554
- Mulligan et al., "Requirement and role of C5a in acute lung inflammatory injury in rats," (1996) J. Clin. Invest. 98,503-512
- Larsen et al., "The pulmonary response of C5 sufficient and deficient mice to immune complexes," (1981) Am. Rev. Respir. Dis. 123,434-439
- Koch et al., (1997) Shock 7,42-48
- Short et al., "Response-selective C5a agonists: differential effects on neutropenia and hypotension in the rat," (1999) Br. J. Pharmacol. 128,511-514
- Goya et al., "Immunologic assessment of host defense impairment in patients with septic multiple organ failure: relationship between complement activation and changes in neutrophil function," (1994) Surgery 115,145-155
- Hecke et al., "Circulating complement proteins in multiple trauma patients-correlation with injury severity, development of sepsis, and outcome," (1997) Crit.
 Care Med. 25,2015-2024

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: 10/12/06

Tanya A. Arenson Registration No. 47,391 MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900 Approved for use through 07/31/2006. OMB 0651-0031

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Complete if Known

Application Number 10/701,871

INFORMATION DISCLOSURE

Filing Date 11/5/2003

First Named Inventor Renfeng Guo, et al.

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

First Named Inventor Renfeng Guo, et al.

Art Unit 1645

Examiner Name Devi

Attorney Docket Number UM-08443

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	1	Mandecki et al., "Chemical synthesis of a gene encoding the human complement fragment C5a and its expression in Escherichia," PNAS U S A. Jun;82(11):3543 7(1985)		
	2	Reidemann et al., "Increased C5a receptor expression in sepsis," (2002) J. Clin. Invest. 110:101-108		
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Substitu	te 101 101111 1445/F1O			Application Number	10/701,871	
INF	ORMATION	DIS	CLOSURE	Filing Date	11/5/2003	
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Sheet	2	of	3	Attorney Docket Number	UM-08443	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	11	Woodruff et al., "Species dependence for binding of small molecule agonist and antagonists to the C5a receptor on polymorphonuclear leukocytes," Inflammation 25, 171-7. (2001)	
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INF	ORMATIO	N DIS	CLOSURE	Filing Date	11/5/2003		
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Renfeng Guo, et al.		
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	(Use as many si	neets as n	ecessary)	Examiner Name	Devi		
Sheet	3	of	3	Attorney Docket Number	UM-08443	\mathcal{I}	

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	24	Czermak et al., "Protective effects of C5a blockade in sepsis," (1999) Nature Med 5,788-792		

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